

PATENT
Attorney Docket No. 100082DIV2
LVM Reference No. 227725

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Prasad

Group Art Unit: Unassigned

Application No. Unassigned

Examiner: Unassigned

Filed: March 3, 2004

For: MICROPOROUS POLISHING PADS

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- within** any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date

of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

- after** (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:
 - the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).
 - or**
 - the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).
 - after** the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).
 - after** the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and **within** thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).
- NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.

Copies of the References

- Copies of all of the references listed on the enclosed Form 1449 are enclosed herewith.
- This patent application was filed after June 30, 2003. Accordingly, copies of U.S. patents and patent applications that are listed on the accompanying Form 1449 are not enclosed herewith (see Official Gazette Notice of August 5, 2003). Copies of other references identified on the accompanying Form 1449 are enclosed herewith.
- Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3). An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3).
- A copy of the foreign search report is enclosed herewith.
- The references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were

furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below:

U.S. APPLICATIONS		Status (<i>check one</i>)		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1. 10/281,782	October 28, 2002		X	
2.				
3.				

Statement under 37 CFR 1.97(e)

- The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- The **undersigned** hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Statement under 37 CFR 1.704(d)

- The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Fees

- No fee** is owed by the applicant(s).
- The **IDS Fee of \$180** under 37 CFR 1.17(p) is enclosed herewith.

In re Appln. of Prasad
Application No. Unassigned

Method of Payment of Fees

- Attached is a check in the amount of \$.
 Charge Deposit Account No. 12-1216 in the amount of \$. (A duplicate copy of this communication is enclosed for that purpose.)

Authorization to Charge Additional Fees

- If any additional fees are owed in connection with this communication, please charge Deposit Account No. 12-1216. (A duplicate copy of this communication is enclosed for that purpose.)

Instructions as to Overpayment

- Credit Account No. 12-1216.
 Refund



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(312) 616-5700 (facsimile)

Date: March 3, 2004

Please type a plus sign (+) inside this box →

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
Sheet	1	of	2	Application Number	Unknown
				Filing Date	March 3, 2004
				First Named Inventor	Abaneshwar PRASAD
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	100082DIV2
				LVM Reference Number	227725

U.S. PATENT DOCUMENTS					
Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication
		Application or Patent Number	Kind Code		
AA		4,138,228		Hartfelt et al.	February 6, 1979
AB		4,239,567		Winings	December 16, 1980
AC		4,954,141		Takiyama et al.	September 4, 1990
AD		5,182,307	A	Kumar	January 26, 1993
AE		5,489,233	A	Cook et al.	February 6, 1996
AF		5,506,760	A	Roberts	February 25, 1997
AG		5,628,862	A	Yu et al.	May 13, 1997
AH		5,684,055	A	Kumar et al.	November 4, 1997
AI		5,893,796	A	Birang et al.	April 13, 1999
AJ		5,964,643	A	Birang et al.	October 12, 1999
AK		6,022,268	A	Roberts et al.	February 8, 2000
AL		6,045,439	A	Birang et al.	April 4, 2000
AM		6,062,968	A	Sevilla et al.	May 16, 2000
AN		6,089,965	A	Otawa et al.	July 18, 2000
AO		6,120,353	A	Suzuki et al.	September 19, 2000
AP		6,146,242	A	Treuer et al.	November 14, 2000
AQ		6,169,122	B1	Bizard et al.	January 2, 2001
AR		6,171,181	B1	Roberts et al.	January 9, 2001
AS		6,231,942	B1	Blizard et al.	May 15, 2001
AT		6,235,380	B1	Tupil et al.	May 22, 2001
AU		6,245,406	B1	Kuramochi et al.	June 12, 2001
AV		6,284,810	B1	Burnham et al.	September 4, 2001
AW		6,328,644	B1	Kuramochi et al.	December 11, 2001
AX		2002/0010232	A1	Ogawa et al.	January 24, 2002
AY		2002/0016146	A1	Kuramochi et al.	February 7, 2002
AZ		6,358,130	B1	Freeman et al.	March 19, 2002

FOREIGN PATENT DOCUMENTS						Translation	
Examiner Initials	Doc. No.	Foreign Patent Document			Date of Publication	Yes	No ⁺⁺
		Office	Application or Patent Number	Kind Code			
BA	EP		1 046 466	A2	Freudenberg Nonwovens Limited Partnership	October 25, 2000	
BB	EP		1 108 500	A1	Toray Industries, Inc.	June 20, 2001	

Examiner Signature	Date Considered
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* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

Please type a plus sign (+) inside this box →

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Unknown
				Filing Date	March 3, 2004
				First Named Inventor	Abaneshwar PRASAD
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	100082DIV2
				LVM Reference Number	227725

U.S. PATENT DOCUMENTS						
Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
B C	6,017,265			Cook et al.	Jan. 25, 2000	
B D	6,290,883			Crevasse et al.	Sep. 18, 2001	
B E	2001/0033040	A1		Cardona et al.	Oct. 25, 2001	
B F	2001/0018121	A1		Okamoto et al.	Aug. 30, 2001	
B G	6,368,200	B1		Merchant et al.	Apr. 9, 2002	

FOREIGN PATENT DOCUMENTS							Translation	
Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Yes	No**
		Office	Application or Patent Number	Kind Code				
B H	WO	00/26005		A1	Pierick et al.	May 11, 2000		
B I	WO	98/28108		A1	Unique Technology International Private Limited	July 2, 1998		
B J	WO	00/59702		A1	Trexel, Inc.	Oct. 12, 2000		
B K	WO	01/15863		A1	LAM Research Corporation	Mar. 8, 2001		
B L	WO	01/15885		A1	Trexel, Inc.	Mar. 8, 2001		
B M	WO	01/36521		A2	Trexel, Inc.	May 25, 2001		
B N	WO	01/68322		A1	Rodel Holdings, Inc.	Sep. 20, 2001		
B O	WO	01/94074		A1	Speedfam-IPEC Corporation	Dec. 13, 2001		
B P	WO	02/02274		A2	Rodel Holdings, Inc.	Jan. 10, 2002		
B Q	WO	02/09907		A1	Silicon Valley Group, Inc.	Feb. 7, 2002		
B R	EP	0 239 040		A1	Rodel, Inc.	Sep. 20, 1987		
B S	WO	98/47662		A1	Cabot Corp.	Oct. 29, 1998		
B T	WO	02/30617		A1	Cabot Microelectronics Corp.	Apr. 18, 2002		

OTHER - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
			Yes	No**

Examiner Signature	Date Considered
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* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).